

Notice of Allowability

Application No.

09/925,103

Examiner

Anh Ly

Applicant(s)

SCHEURICH ET AL.

Art Unit

2162

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 08/11/2006.
2. ☒ The allowed claim(s) is/are 1-20, 21, 33-35 and 41 (renumbered as 1-24).
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☒ Interview Summary (PTO-413),
Paper No./Mail Date 09/26/2006.
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____

JEAN M. C. MELUS
PRIMARY EXAMINER

DETAILED ACTION

1. This Office Action is response to Applicants' Appeal Brief filed on 08/11/2006.

EXAMINER'S AMENDMENT

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.
3. Authorization for this examiner's amendment was given in a telephone interview with Mr. James M. Stover (Reg. No.: 32,759) on TUE 937-445-7663.

The application has been amended as follows:

Claim 1:

Lines 20 of claim 1, "directive defining the query," replace with "directive defining the query, and"

Claims 22-32:

Cancelled claims 22-32

Claims 36-40:

Cancelled claims 36-40

4. Claims 1-20, 21, 33-35 and 41 are allowed.

Allowable Subject Matter

5. The present application has been thoroughly reviewed. Upon searching a variety of databases, the examiner respectfully submits that claims 24-33 are allowed in light of the applicants' argument and in light of the prior arts of made record.

6. The following is an examiner's statement of reasons for allowance:

The claimed invention is directed to a computer-implemented method and a computer-based system for presenting a user interface for construction of an executable sequence to automate a decision-making process based on a collection of data. The invention system is displaying representations in the user interface of a plurality of discrete executable directives encapsulating their respective logic associated with the decision-making process, wherein at least one of the discrete executable directives defines a query against the collection of data, at least one of the discrete executable directives defines an analysis directive to analyze information derived from the query, and at least one of the discrete executable directives defines a distribution directive to distribute information based on analysis performed by analysis directive.

The closest prior arts, Pub. No.: US 2002/0165727 A1 of Greene et al. (hereinafter Greene) teaches an application can be expressed as a collection of software programs that execute user interface. Pub. No.: US 2002/0174000 A1 of Katz et al. (hereinafter Katz) teaches description of distributing data between two databases. And Patent No.: US 6,735,592 B1 issued to Neumann et al. (hereinafter Neumann) teaches user interface agent being implemented by Java servlet that is run from a web

browser and from which the user may select the desired option via a window menu or graphical objects or dialog boxes.

In combination, Green, Katz and Neumann fail to teach "displaying representations in user interface of a plurality of discrete executable directives encapsulating their respective logic associated with the decision-making process," "followed at some time by at least one discrete executable distribution directive operable to distribute information based on analysis performed by at least one discrete executable analysis directive," and "at least one of processing directives is selected from a menu and wherein the processing directives comprise query directives, analysis directives and distribution directives."


These distinct features, in conjunction with all other limitations of the dependents and independent claims render claims 1-20, 21, 33-35 and 41 them allowable.


7. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Contact Information

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anh Ly whose telephone number is (571) 272-4039 or via E-Mail: ANH.LY@USPTO.GOV (**Written Authorization being given by Applicant (MPEP 502.03 [R-2])) or fax to (571) 273-4039 (Examiner's personal Fax No.)**). The examiner can normally be reached on TUESDAY – THURSDAY from 8:30 AM – 3:30 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene, can be reached on (571) 272-4107 or **Primary Examiner: Jean Corrielus (571) 272-4032**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). Any response to this action should be mailed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or faxed to: **Central Fax Center: (571) 273-8300**

ANH LY 
SEP. 26th, 2006


JEAN M. CORRIELUS
PRIMARY EXAMINER